Arbor
WEALTH MANAGEMENT

Abbreviated Advisor Scorecard

Choosing the right partner in planning your financial future can seem daunting. To help, we created this scorecard so you can learn everything you need to know about the person or organization you're interviewing. To access our Complete Advisor Scorecard, and learn how Arbor can help you find harmony of wealth management, visit arborfirm.com

1. **Will I be working directly with you? How can I best reach you, and how often will you be available to me?**
2. **Do you hold any of the following credentials: Certified Financial Planner (CFP), Personal Financial Specialist (CPA/PFS), or Chartered Financial Analyst (CFA)?**
3. **Are you a true legal Fiduciary in all aspects of the services you offer?**
4. **What specific custodians, broker dealers, and mutual fund providers do you use?**
5. **What is your specialty? What key services do you offer?**
6. **How are you compensated–and is anyone else compensated for the financial advice you give me? What other costs will I incur from your services?**
7. **Please describe your approach to financial planning for the following:**
    - Investments
    - Taxes
    - Insurance
8. **Have you ever been disciplined for any unlawful or unethical actions in your career?**
9. **What does your ideal client look like and why?**